

529 Rec'd PCT/PTC 10 NOV 2000

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| FORM PTO-1390 REV. 5-93 | | US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEYS DOCKET NUMBER P00,1767 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/700093 |
| INTERNATIONAL APPLICATION NO. PCT/DE99/01309 | INTERNATIONAL FILING DATE 03 MAY 1999 | PRIORITY DATE CLAIMED 11 MAY 1998 | |
| TITLE OF INVENTION METHOD AND COMMUNICATIONS SYSTEM FOR PROCESSING STATE INFORMATION IN A MANAGEMENT NETWORK HAVING A NUMBER OF MANAGEMENT LEVELS | | | |
| APPLICANT(S) FOR DO/EO/US LUCIAN HIRSCH ET AL. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached. <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)) - drawings attached. 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | |
| Items 11. to 16. below concern other document(s) or information included: | | | |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). | | | |
| 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE) | | | |
| 13. <input checked="" type="checkbox"/> Amendment "A" Prior to Action. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. | | | |
| 14. <input type="checkbox"/> A substitute specification. | | | |
| 15. <input checked="" type="checkbox"/> A change of address letter attached to the Declaration. | | | |
| 16. <input checked="" type="checkbox"/> Other items or information: | | | |
| a. <input checked="" type="checkbox"/> Request for Approval of Drawing Modifications, 3 sheets of drawings, Figures 1-4. | | | |
| b. <input checked="" type="checkbox"/> Appointment of Associate Power of Attorney | | | |
| c. <input checked="" type="checkbox"/> EXPRESS MAIL #EL655299475US dated November 10, 2000. | | | |

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) .. \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$710.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1000.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

| Claims | Number Filed | Number Extra | Rate | | |
|---|--------------|--------------|------------|--------------------------|----|
| Total Claims | 29 - 20 = | 9 | X \$ 18.00 | \$ 162.00 | |
| Independent Claims | 02 - 3 = | 0 | X \$ 80.00 | \$ | |
| Multiple Dependent Claims | | | \$270.00 + | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1022.00 | |
| Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) | | | | \$ | |
| SUBTOTAL = | | | | \$ 1022.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 1022.00 | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | + | |
| TOTAL FEES ENCLOSED = | | | | \$ 1022.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | charged | \$ |

- a. ☒ A check in the amount of \$ 1022.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6473

SIGNATURE

Mark Bergner

NAME

45,877

Registration Number

CERTIFICATE OF MAILING BY EXPRESS MAIL

09/700093

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Express Mail Mailing Label Number EL655299475US

Date of Deposit: November 10, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT
Assistant Commissioner for Patents
Washington DC 20231**

**Case Number: P00,1767
Applicant(s): Lucian Hirsch et al**

**International Application No. PCT/DE99/01309
International Filing Date 03 MAY 1999
Priority Date Claimed 11 MAY 1998**

**Title: Method and communications system for processing state
information in a management network having a number of
management levels**

Enclosed are the following documents:

International application as filed, drawings attached;
English Translation, translated drawings attached;

Executed Declaration;
Change of Address form for Applicants' Representative;

PTO 1390 in duplicate;
Amendment "A" prior to action;

Appointment of Associate Power of Attorney;

Drawing Modifications, 3 sheets of drawings, Figures 1-4;


Fee: \$1022.00

Postcard.

**(See attached envelope for Executed Assignment;
PTO 1595; \$40.00 filing fee; Postcard)**

P. Hernandez

Signature of person mailing documents and fees

 (Reg. No. 31,870)
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CHANGE OF ADDRESS OF APPLICANTS' REPRESENTATIVE

APPLICANT(S): LUCIAN HIRSCH ET AL
ATTORNEY DOCKET NO.: P00,1767
INTERNATIONAL APPLICATION NO: PCT/DE99/01309
INTERNATIONAL FILING DATE: 03 MAY 1999
INVENTION: METHOD AND COMMUNICATIONS SYSTEM FOR
PROCESSING STATE INFORMATION IN A
MANAGEMENT NETWORK HAVING A NUMBER OF
MANAGEMENT LEVELS

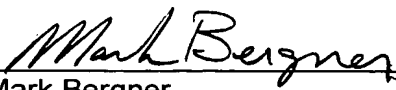
Assistant Commissioner for Patents,
Washington D.C. 20231

S I R:

Members of the firm of Hill & Simpson designated on the original Power of Attorney have merged into the firm of Schiff Hardin & Waite. All future correspondence for the above-referenced application therefore should be sent to the following address:

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Patent Department
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233 South Wacker Drive
Chicago, Illinois 60606-6473

Submitted by,

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